

## FORMALITIES LETTER



\*OC00000005024841\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/500,391	02/08/2000	Wei-Ping Sun	CISCO-1858

David B Ritchie  
D'Alessandra & Ritchie  
P O Box 640640  
San Jose, CA 95164



Date Mailed: 04/03/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$630.
  - \$396 for 22 total claims over 20.
  - \$234 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1450.**

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*A copy of this notice **MUST** be returned with the reply.*

*Joe Sante-Riley*  
Customer Service Center T-6  
Initial Patent Examination Division (703) 308-1202

06/12/2000 SSESH1 00000022 09500391 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	690.00 OP
02 FC:102	234.00 OP
03 FC:103	396.00 OP
04 FC:105	130.00 OP

RECEIVED  
APR - 7 2000

D'ALESSANDRO &amp; RITCHIE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Sun, et al.

Serial No. 09/500,391

Filed: February 8, 2000

For: **METHOD AND APPARATUS FOR  
NETWORK MANAGEMENT  
SYSTEM**



) Art Unit:

) Examiner:

)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington D.C. 20231

on 10/5/00 an Date Tara Hayden Signature

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated April 3, 2000, please find enclosed herewith:

1. Declaration executed by the inventors;
2. Power of Attorney executed by an officer of assignee, Cisco Technology,

Inc.;

3. Check in the amount of \$1,450.00 for filing fee and surcharge for assignee as a large entity calculated as follows:

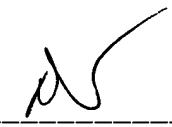
Basic filing fee - Fee Code 101	\$ 690.00
3 additional independent claim(s) - Fee Code 102	\$ 234.00
22 additional claims in excess of twenty - Fee Code 103	\$ 396.00
<u>Surcharge - Fee Code 105</u>	<u>\$ 130.00</u>
Total	\$1,450.00

4. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 04-0025. A duplicate of this transmittal page is enclosed.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE

Dated: June 5, 2000

  
\_\_\_\_\_  
David B. Ritchie  
Reg. No. 31,562

D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, CA 95164-0640  
(408) 441-1100

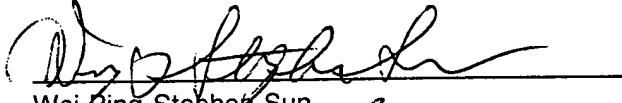
## ASSIGNMENT

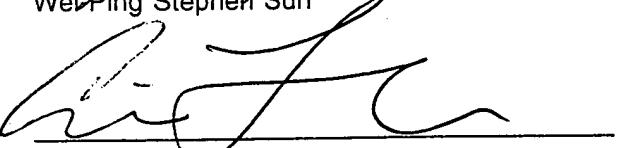
WHEREAS, we, Wei-Ping Stephen Sun, a citizen of the United States of America, residing at 7136 Golden Date Drive, San Jose, California 95129, Bernard Roycroft James, a citizen of the United States of America, residing at 1864 Van Buren Circle, Mountain View, California 94040, and Eric Fronberg, a citizen of the United States of America, residing at 407 Bay Road, Menlo Park, California 94025, have invented a "**Method and Apparatus for Network Management System**" for which we have executed application papers for a U.S. patent thereon which was filed on February 8, 2000, serial no. 09/500,391; and

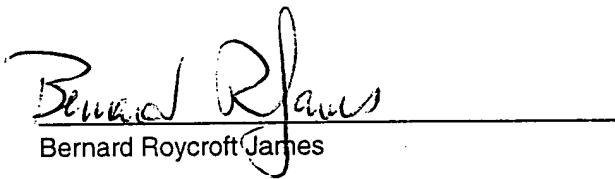
WHEREAS, Cisco Technology, Inc., a California corporation, having a place of business 170 West Tasman Drive, San Jose, California 95134-1706, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Wei-Ping Stephen Sun, Bernard Roycroft James, and Eric Fronberg, do sell, assign, transfer and set over unto the said Cisco Technology, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Cisco Technology, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS MY HAND at San Jose, California, this 26 day of May 2000.

  
Wei-Ping Stephen Sun

  
Eric Fronberg

  
Bernard Roycroft James

STATE OF CALIFORNIA )  
 ) ss.  
COUNTY OF \_\_\_\_\_)

On this \_\_\_\_\_ day of \_\_\_\_\_, 2000, before me, a Notary Public, personally appeared Wei-Ping Stephen Sun, Bernard Roycroft James, and Eric Fronberg, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person/s whose name is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacities, and that by his/her/their signature/s on the instrument the person/s or the entity upon behalf of which the person/s acted, executed the instrument.

WITNESS my hand and official seal.

**COPY**